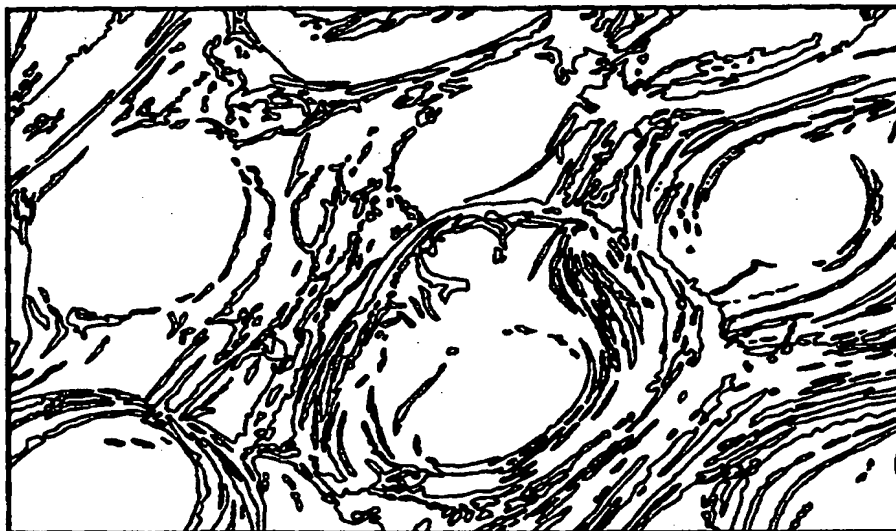


**PCT**WORLD INTELLECTUAL PROPERTY ORGANIZATION  
International Bureau

## INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification <sup>6</sup> : <b>C04B 38/00</b>		<b>A1</b>	(11) International Publication Number: <b>WO 99/11585</b>
			(43) International Publication Date: 11 March 1999 (11.03.99)
(21) International Application Number: <b>PCT/US98/16210</b>			(81) Designated States: AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, CA, CH, CN, CU, CZ, DE, DK, EE, ES, FI, GB, GE, GH, GM, HR, HU, ID, IL, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, UA, UG, UZ, VN, YU, ZW, ARIPO patent (GH, GM, KE, LS, MW, SD, SZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GW, ML, MR, NE, SN, TD, TG).
(22) International Filing Date: 5 August 1998 (05.08.98)			
(30) Priority Data: 08/921,875 2 September 1997 (02.09.97) US			
(71) Applicant: LOCKHEED MARTIN ENERGY RESEARCH CORPORATION [US/US]; P.O. Box 2009, Oak Ridge, TN 37831-8243 (US).			
(72) Inventor: KLETT, James, W.; 10073 Delle Meade Drive, Knoxville, TN 37931 (US).			
(74) Agent: HAMILTON, Neil, E.; Quarles & Brady, 411 East Wisconsin Avenue, Milwaukee, WI 53202-4497 (US).			Published With international search report.

(54) Title: PITCH-BASED CARBON FOAM AND COMPOSITES



## (57) Abstract

A process for producing carbon foam or a composite is disclosed which obviates the need for conventional oxidative stabilization. The process employs mesophase or isotropic pitch and a simplified process using a single mold. The foam has a relatively uniform distribution of pore sizes and a highly aligned graphitic structure in the struts. The foam material can be made into a composite which is useful in high temperature sandwich panels for both thermal and structural applications.

BEST AVAILABLE COPY

**FOR THE PURPOSES OF INFORMATION ONLY**

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AL	Albania	ES	Spain	LS	Lesotho	SI	Slovenia
AM	Armenia	FI	Finland	LT	Lithuania	SK	Slovakia
AT	Austria	FR	France	LU	Luxembourg	SN	Senegal
AU	Australia	GA	Gabon	LV	Latvia	SZ	Swaziland
AZ	Azerbaijan	GB	United Kingdom	MC	Monaco	TD	Chad
BA	Bosnia and Herzegovina	GE	Georgia	MD	Republic of Moldova	TG	Togo
BB	Barbados	GH	Ghana	MG	Madagascar	TJ	Tajikistan
BE	Belgium	GN	Guinea	MK	The former Yugoslav Republic of Macedonia	TM	Turkmenistan
BF	Burkina Faso	GR	Greece			TR	Turkey
BG	Bulgaria	HU	Hungary	ML	Mali	TT	Trinidad and Tobago
BJ	Benin	IE	Ireland	MN	Mongolia	UA	Ukraine
BR	Brazil	IL	Israel	MR	Mauritania	UG	Uganda
BY	Belarus	IS	Iceland	MW	Malawi	US	United States of America
CA	Canada	IT	Italy	MX	Mexico	UZ	Uzbekistan
CF	Central African Republic	JP	Japan	NE	Niger	VN	Viet Nam
CG	Congo	KE	Kenya	NL	Netherlands	YU	Yugoslavia
CH	Switzerland	KG	Kyrgyzstan	NO	Norway	ZW	Zimbabwe
CI	Côte d'Ivoire	KP	Democratic People's Republic of Korea	NZ	New Zealand		
CM	Cameroon			PL	Poland		
CN	China	KR	Republic of Korea	PT	Portugal		
CU	Cuba	KZ	Kazakhstan	RO	Romania		
CZ	Czech Republic	LC	Saint Lucia	RU	Russian Federation		
DE	Germany	LI	Liechtenstein	SD	Sudan		
DK	Denmark	LK	Sri Lanka	SE	Sweden		
EE	Estonia	LR	Liberia	SG	Singapore		

## PITCH-BASED CARBON FOAM AND COMPOSITES

## CROSS-REFERENCE TO RELATED APPLICATIONS

Not Applicable

## STATEMENT REGARDING FEDERALLY SPONSORED RESEARCH OR DEVELOPMENT

5           The United States Government has rights in this invention pursuant to contract No. DE-AC05-96OR22464 between the United States Department of Energy and Lockheed Martin Energy Research Corporation.

Background Of The Invention

10           The present invention relates to carbon foam and composites, and more particularly to a process for producing them.

          The extraordinary mechanical properties of commercial carbon fibers are due to the unique graphitic morphology of the extruded filaments. See Edie, D.D., "Pitch and Mesophase  
15           Fibers," in Carbon Fibers, Filaments and Composites, Figueiredo (editor), Kluwer Academic Publishers, Boston, pp. 43-72 (1990). Contemporary advanced structural composites exploit these properties by creating a disconnected network of graphitic  
20           filaments held together by an appropriate matrix. Carbon foam derived from a pitch precursor can be considered to be an interconnected network of graphitic ligaments or struts, as shown in Figure 1. As such interconnected networks, they represent a potential alternative as a reinforcement in  
25           structural composite materials.

Recent developments of fiber-reinforced composites has been driven by requirements for improved strength, stiffness, creep resistance, and toughness in structural engineering materials. Carbon fibers have led to significant advancements in these properties in composites of various polymeric, metal, and ceramic matrices.

However, current applications of carbon fibers has evolved from structural reinforcement to thermal management in application ranging from high density electronic modules to communication satellites. This has simulated research into novel reinforcements and composite processing methods. High thermal conductivity, low weight, and low coefficient of thermal expansion are the primary concerns in thermal management applications. See Shih, Wei, "Development of Carbon-Carbon Composites for Electronic Thermal Management Applications," IDA Workshop, May 3-5, 1994, supported by AF Wright Laboratory under Contract Number F33615-93-C-2363 and AR Phillips Laboratory Contract Number F29601-93-C-0165 and Engle, G.B., "High Thermal Conductivity C/C Composites for Thermal Management," IDA Workshop, May 3-5, 1994, supported by AF Wright Laboratory under Contract F33615-93-C-2363 and AR Phillips Laboratory Contract Number F29601-93-C-0165. Such applications are striving towards a sandwich type approach in which a low density structural core material (i.e. honeycomb or foam) is sandwiched between a high thermal conductivity facesheet. Structural cores are limited to low density materials to ensure that the weight limits are not exceeded. Unfortunately, carbon foams and carbon honeycomb materials are

the only available materials for use in high temperature applications ( $>1600^{\circ}\text{C}$ ). High thermal conductivity carbon honeycomb materials are extremely expensive to manufacture compared to low conductivity honeycombs, therefore, a performance penalty is paid for low cost materials. High conductivity carbon foams are also more expensive to manufacture than low conductivity carbon foams, in part, due to the starting materials.

In order to produce high stiffness and high conductivity carbon foams, invariably, a pitch must be used as the precursor. This is because pitch is the only precursor which forms a highly aligned graphitic structure which is a requirement for high conductivity. Typical processes utilize a blowing technique to produce a foam of the pitch precursor in which the pitch is melted and passed from a high pressure region to a low pressure region. Thermodynamically, this produces a "Flash," thereby causing the low molecular weight compounds in the pitch to vaporize (the pitch boils), resulting in a pitch foam. See Hagar, Joseph W. and Max L. Lake, "Novel Hybrid Composites Based on Carbon Foams," *Mat. Res. Soc. Symp.*, Materials Research Society, 270:29-34 (1992), Hagar, Joseph W. and Max L. Lake, "Formulation of a Mathematical Process Model Process Model for the Foaming of a Mesophase Carbon Precursor," *Mat. Res. Soc. Symp.*, Materials Research Society, 270:35-40 (1992), Gibson, L.J. and M.F. Ashby, Cellular Solids: Structures & Properties, Pergamon Press, New York (1988), Gibson, L.J., *Mat. Sci. and Eng A110*, 1 (1989), Knippenberg and B. Lersmacher, *Phillips Tech. Rev.*, 36(4), (1976), and Bonzom,

A., P. Crepaux and E. J. Moutard, U.S. patent 4,276,246, (1981). Then, the pitch foam must be oxidatively stabilized by heating in air (or oxygen) for many hours, thereby, cross-linking the structure and "setting" the pitch so it does not melt during carbonization. See Hagar, Joseph W. and Max L. Lake, "Formulation of a Mathematical Process Model Process Model for the Foaming of a Mesophase Carbon Precursor, *Mat. Res. Soc. Symp.*, Materials Research Society, 270:35-40 (1992) and White, J.L., and P.M. Shaeffer, *Carbon*, 27:697 (1989). This is a time consuming step and can be an expensive step depending on the part size and equipment required. The "set" or oxidized pitch is then carbonized in an inert atmosphere to temperatures as high as 1100°C. Then, graphitization is performed at temperatures as high as 3000°C to produce a high thermal conductivity graphitic structure, resulting in a stiff and very thermally conductive foam.

Other techniques utilize a polymeric precursor, such as phenolic, urethane, or blends of these with pitch. See Hagar, Joseph W. and Max L. Lake, "Idealized Strut Geometries for Open-Celled Foams," *Mat. Res. Soc. Symp.*, Materials Research Society, 270:41-46 (1992), Aubert, J. W., (*MRS Symposium Proceedings*, 207:117-127 (1990), Cowlard, F.C. and J.C. Lewis, *J. of Mat. Sci.*, 2:507-512 (1967) and Noda, T., Inagaki and S. Yamada, *J. of Non-Crystalline Solids*, 1:285-302, (1969). High pressure is applied and the sample is heated. At a specified temperature, the pressure is released, thus causing the liquid to foam as volatile compounds are released. The polymeric precursors are cured and then carbonized without a

stabilization step. However, these precursors produce a "glassy" or vitreous carbon which does not exhibit graphitic structure and, thus, has low thermal conductivity and low stiffness. See Hagar, Joseph W. and Max L. Lake, "Idealized Strut Geometries for Open-Celled Foams," *Mat. Res. Soc. Symp.*, Materials Research Society, 270:41-46 (1992).

In either case, once the foam is formed, it is then bonded in a separate step to the facesheet used in the composite. This can be an expensive step in the utilization of the foam.

The process of this invention overcomes these limitations, by not requiring a "blowing" or "pressure release" technique to produce the foam. Furthermore, an oxidation stabilization step is not required, as in other methods used to produce pitch-based carbon foams with a highly aligned graphitic structure. This process is less time consuming, and therefore, will be lower in cost and easier to fabricate.

Lastly, the foam can be produced with an integrated sheet of high thermal conductivity carbon on the surface of the foam, thereby producing a carbon foam with a smooth sheet on the surface to improve heat transfer.

#### Summary Of The Invention

The general object of the present invention is to provide carbon foam and a composite from a mesophase or isotropic pitch such as synthetic, petroleum or coal-tar based pitch.

Another object is to provide a carbon foam and a composite from pitch which does not require an oxidative stabilization step.

5 These and other objectives are accomplished by a method of producing carbon foam wherein an appropriate mold shape is selected and preferably an appropriate mold release agent is applied to walls of the mold. Pitch is introduced to an appropriate level in the mold, and the mold is purged of air such as by applying a vacuum. Alternatively, an inert fluid  
10 could be employed. The pitch is heated to a temperature sufficient to coalesce the pitch into a liquid which preferably is of about 50°C to about 100°C above the softening point of the pitch. The vacuum is released and an inert fluid applied at a static pressure up to about 1000 psi. The pitch is heated  
15 to a temperature sufficient to cause gases to evolve and foam the pitch. The pitch is further heated to a temperature sufficient to coke the pitch and the pitch is cooled to room temperature with a simultaneous and gradual release of pressure.

In another aspect, the previously described steps are employed in a mold composed of a material such that the molten pitch does not wet.

In yet another aspect, the objectives are accomplished by the carbon foam product produced by the methods disclosed herein including a foam product with a smooth integral facesheet.



In still another aspect a carbon foam composite product is produced by adhering facesheets to a carbon foam produced by the process of this invention.

Brief Description Of The Drawings

Fig. 1 is a micrograph illustrating typical carbon foam with interconnected carbon ligaments and open porosity.

Figs. 2-6 are micrographs of pitch-derived carbon foam graphitized at 2500°C and at various magnifications.

Fig. 7 is a SEM micrograph of the foam produced by the process of this invention.

Fig. 8 is a chart illustrating cumulative intrusion volume versus pore diameter.

Fig. 9 is a chart illustrating log differential intrusion volume versus pore diameter.

Fig. 10 is a graph illustrating the temperatures at which volatiles are given off from raw pitch.

Fig. 11 is an X-ray analysis of the graphitized foam produced by the process of this invention.

Figs. 12 A-C are photographs illustrating foam produced with aluminum crucibles and the smooth structure or face sheet that develops.

Fig. 13A is a schematic view illustrating the production of a carbon foam composite made in accordance with this invention.

Fig. 13B is a perspective view of the carbon foam composite of this invention.

#### Detailed Description Of The Invention

In order to illustrate the carbon foam product and composite of this invention, the following Examples are set forth. They are not intended to limit the invention in any way.

##### EXAMPLE I

Pitch powder, granules, or pellets are placed in a mold with the desired final shape of the foam. These pitch materials can be solvated if desired. In this Example Mitsubishi ARA-24 mesophase pitch was utilized. A proper mold release agent or film is applied to the sides of the mold to allow removal of the part. In this case, Boron Nitride spray and Dry Graphite Lubricant were separately used as a mold release agent. If the mold is made from pure aluminum, no mold release agent is necessary since the molten pitch does not wet

the aluminum and, thus, will not stick to the mold. Similar mold materials may be found that the pitch does not wet and, thus, they will not need mold release. The sample is evacuated to less than 1 torr and then heated to a temperature approximately 50 to 100°C above the softening point. In this case where Mitsubishi ARA24 mesophase pitch was used, 300°C was sufficient. At this point, the vacuum is released to a nitrogen blanket and then a pressure of up to 1000 psi is applied. The temperature of the system is then raised to 800°C, or a temperature sufficient to coke the pitch which is 500°C to 1000°C. This is performed at a rate of no greater than 5°C/min. and preferably at about 2°C/min. The temperature is held for at least 15 minutes to achieve an assured soak and then the furnace power is turned off and cooled to room temperature. Preferably the foam was cooled at a rate of approximately 1.5°C/min. with release of pressure at a rate of approximately 2 psi/min. Final foam temperatures for three product runs were 500°C, 630°C and 800°C. During the cooling cycle, pressure is released gradually to atmospheric conditions. The foam was then heat treated to 1050°C (carbonized) under a nitrogen blanket and then heat treated in separate runs to 2500°C and 2800°C (graphitized) in Argon.

Carbon foam produced with this technique was examined with photomicrography, scanning electron microscopy (SEM), X-ray analysis, and mercury porisimetry. As can be seen in the Figures 2-7, the interference patterns under cross-polarized light indicate that the struts of the foam are completely graphitic. That is, all of the pitch was converted to graphite

and aligned along the axis of the struts. These struts are also similar in size and are interconnected throughout the foam. This would indicate that the foam would have high stiffness and good strength. As seen in Fig. 7 by the SEM micrograph of the foam, the foam is open cellular meaning that the porosity is not closed. Figures 8 and 9 are results of the mercury porisimetry tests. These tests indicate that the pore sizes are in the range of 90-200 microns.

A thermogravimetric study of the raw pitch was performed to determine the temperature at which the volatiles are evolved. As can be seen in Figure 11, the pitch loses nearly 20% of its mass fairly rapidly in the temperature range between about 420°C and about 480°C. Although this was performed at atmospheric pressure, the addition of 1000 psi pressure will not shift this effect significantly. Therefore, while the pressure is at 1000 psi, gases rapidly evolved during heating through the temperature range of 420°C to 480°C. The gases produce a foaming effect (like boiling) on the molten pitch. As the temperature is increased further to temperatures ranging from 500°C to 1000°C (depending on the specific pitch), the foamed pitch becomes coked (or rigid), thus producing a solid foam derived from pitch. Hence, the foaming has occurred before the release of pressure and, therefore, this process is very different from previous art.

Samples from the foam were machined into specimens for measuring the thermal conductivity. The bulk thermal conductivity ranged from 58 W/m•K to 106 W/m•K. The average density of the samples was 0.53 g/cm<sup>3</sup>. When weight is taken

into account, the specific thermal conductivity of the pitch derived foam is over 4 times greater than that of copper. Further derivations can be utilized to estimate the thermal conductivity of the struts themselves to be nearly 700 W/m•K. This is comparable to high thermal conductivity carbon fibers produced from this same ARA24 mesophase pitch.

X-ray analysis of the foam was performed to determine the crystalline structure of the material. The x-ray results are shown in Figure 11. From this data, the graphene layer spacing ( $d_{002}$ ) was determined to be 0.336 nm. The coherence length ( $L_a$ , 1010) was determined to be 203.3 nm and the stacking height was determined to be 442.3 nm.

The compression strength of the samples were measured to be 3.4 MPa and the compression modulus was measured to be 73.4 MPa. The foam sample was easily machined and could be handled readily without fear of damage, indicating a good strength.

It is important to note that when this pitch is heated in a similar manner, but under only atmospheric pressure, the pitch foams dramatically more than when under pressure. In fact, the resulting foam is so fragile that it could not even be handled to perform tests.

#### EXAMPLE II

An alternative to the method of Example I is to utilize a mold made from aluminum. In this case two molds were used, an aluminum weighing dish and a sectioned soda can. The same process as set forth in Example I is employed except that the

final coking temperature was only 630°C, so as to prevent the aluminum from melting.

Figures 12 A-C illustrate the ability to utilize complex shaped molds for producing complex shaped foam. In one case, shown in Fig. 12 A the top of a soda can was removed and the remaining can used as a mold. No release agent was utilized. Note that the shape of the resulting part conforms to the shape of the soda can, even after graphitization to 2800°C. This demonstrates the dimensional stability of the foam and the ability to produce near net shaped parts.

In the second case, as shown in Figs. 12 B and C employing an aluminum weight dish, a very smooth surface was formed on the surface contacting the aluminum. This is directly attributable to the fact that the molten pitch does not wet the surface of the aluminum. This would allow one to produce complex shaped parts with smooth surfaces so as to improve contact area for bonding or improving heat transfer. This smooth surface will act as a face sheet and, thus, a foam-core composite can be fabricated in-situ with the fabrication of the face sheet. Since it is fabricated together and an integral material no interface joints result, thermal stresses will be less, resulting in a stronger material.

The following examples illustrate the production of a composite material employing the foam of this invention.

### EXAMPLE III

Pitch derived carbon foam was produced with the method described in Example I. Referring to Fig. 13A the carbon foam

10 was then machined into a block 2"x2"x1/2". Two pieces 12 and 14 of a prepeg comprised of Hercules AS4 carbon fibers and ICI Fibirite Polyetheretherkeytone thermoplastic resin also of 2"x2"x1/2" size were placed on the top and bottom of the foam sample, and all was placed in a matched graphite mold 16 for compression by graphite plunger 18. The composite sample was heated under an applied pressure of 100 psi to a temperature of 380°C at a rate of 5°C/min. The composite was then heated under a pressure of 100 psi to a temperature of 650°C. The foam core sandwich panel generally 20 was then removed from the mold and carbonized under nitrogen to 1050°C and then graphitized to 2800°C, resulting in a foam with carbon-carbon facesheets bonded to the surface. The composite generally 30 is shown in Figure 13B.

#### EXAMPLE IV

Pitch derived carbon foam was produced with the method described in Example I. It was then machined into a block 2"x2"x1/2". Two pieces of carbon-carbon material, 2"x2"x1/2", were coated lightly with a mixture of 50% ethanol, 50% phenolic Durez® Resin available from Occidental Chemical Co. The foam block and carbon-carbon material were positioned together and placed in a mold as indicated in Example III. The sample was heated to a temperature of 150°C at a rate of 5°C/min and soaked at temperature for 14 hours. The sample was then carbonized under nitrogen to 1050°C and then graphitized to 2800°C, resulting in a foam with carbon-carbon facesheets

bonded to the surface. This is also shown generally at 30 in Figure 13B.

#### EXAMPLE V

Pitch derived carbon foam was produced with the method described in Example I. The foam sample was then densified with carbon by the method of chemical vapor infiltration for 100 hours. The density increased to 1.4 g/cm<sup>3</sup>, the flexural strength was 19.5 MPa and the flexural modulus was 2300 MPa. The thermal conductivity of the raw foam was 58 W/m•K and the thermal conductivity of the densified foam was 94 W/m•K.

#### EXAMPLE VI

Pitch derived carbon foam was produced with the method described in Example I. The foam sample was then densified with epoxy by the method of vacuum impregnation. The epoxy was cured at 150°C for 5 hours. The density increased to 1.37 g/cm<sup>3</sup> and the flexural strength was measured to be 19.3 MPa.

It is obvious that other materials, such as metals, ceramics, plastics, or fiber reinforced plastics could be bonded to the surface of the foam of this invention to produce a foam core composite material with acceptable properties. It is also obvious that ceramics, or glass, or other materials could be impregnated into the foam for densification.

Based on the data taken to date from the carbon foam material, several observations can be made and the important features of the invention are:



1. Pitch-based carbon foam can be produced without an oxidative stabilization step, thus saving time and costs.
2. High graphitic alignment in the struts of the foam is achieved upon graphitization to 2500°C, and thus high thermal conductivity and stiffness will be exhibited by the foam, making them suitable as a core material for thermal applications.
3. High compressive strengths should be achieved with mesophase pitch-based carbon foams, making them suitable as a core material for structural applications.
4. Foam core composites can be fabricated at the same time as the foam is generated, thus saving time and costs.
5. Rigid monolithic preforms can be made with significant open porosity suitable for densification by the Chemical Vapor Infiltration method of ceramic and carbon infiltrants.
6. Rigid monolithic preforms can be made with significant open porosity suitable for activation, producing a monolithic activated carbon.
7. It is obvious that by varying the pressure applied, the size of the bubbles formed during the foaming will change and, thus, the density, strength, and other properties can be affected.

The following alternative procedures and products can also be effected by the process of this invention:

1. Fabrication of preforms with complex shapes for densification by CVI or Melt Impregnation.

2. Activated carbon monoliths.
3. Optical absorbent.
4. Low density heating elements.
5. Firewall Material.
6. Low secondary electron emission targets for high-energy physics applications.

It will thus be seen that the present invention provides for the manufacture of pitch-based carbon foam for structural and thermal composites. The process involves the fabrication of a graphitic foam from a mesophase or isotropic pitch which can be synthetic, petroleum, or coal-tar based. A blend of these pitches can also be employed. The simplified process utilizes a high pressure high temperature furnace and thereby, does not require an oxidative stabilization step. The foam has a relatively uniform distribution of pore sizes ( $\approx 100$  microns), very little closed porosity, and density of approximately  $0.53 \text{ g/cm}^3$ . The mesophase pitch is stretched along the struts of the foam structure and thereby produces a highly aligned graphitic structure in the struts. These struts will exhibit thermal conductivities and stiffness similar to the very expensive high performance carbon fibers (such as P-120 and K1100). Thus, the foam will exhibit high stiffness and thermal conductivity at a very low density ( $\approx 0.5 \text{ g/cc}$ ). This foam can be formed in place as a core material for high temperature sandwich panels for both thermal and structural applications, thus reducing fabrication time.

By utilizing an isotropic pitch, the resulting foam can be easily activated to produce a high surface area activated carbon. The activated carbon foam will not experience the problems associated with granules such as attrition, channeling, and large pressure drops.

## CLAIMS

What is claimed is:

1. A process of producing carbon foam comprising:  
selecting an appropriate mold shape;  
introducing pitch to an appropriate level in a mold;  
purging air from the mold;  
5 heating the pitch to a temperature sufficient to coalesce  
the pitch into a liquid;  
releasing the vacuum and applying an inert fluid at a  
static pressure up to about 1000 psi;  
heating the pitch to a temperature sufficient to cause  
10 gases to evolve and foam the pitch;  
heating the pitch to a temperature sufficient to coke the  
pitch; and  
cooling the foam to room temperature with a simultaneous  
release of pressure.

2. The process of claim 1 wherein the pitch is  
introduced as granulated pitch.

3. The process of claim 1 wherein the pitch is  
introduced as powdered pitch.

4. The process of claim 1 wherein the pitch is  
introduced as pelletized pitch.

5. The process of claim 1 wherein the pitch is a synthetic mesophase or isotropic pitch.

6. The process of claim 1 wherein the pitch is a petroleum derived mesophase or isotropic pitch.

7. The process of claim 1 wherein the pitch is a coal-derived mesophase or isotropic pitch.

8. The process of claim 1 wherein the pitch is a blend of pitches selected from the group consisting of synthetic mesophase or isotropic pitch, petroleum derived mesophase or isotropic pitch, and coal derived mesophase or isotropic pitch.

9. The process of claim 1 wherein the pitch is a solvated pitch.

10. The process of claim 1 wherein the purging is effected by a vacuum step.

11. The process of claim 1 wherein the purging is effected by an inert fluid.

12. The process of claim 1 wherein the vacuum is applied at less than 1 torr.

13. The process of claim 1 wherein nitrogen is introduced as the inert fluid.

14. The process of claim 1 wherein the pitch is heated to a temperature in the range of about 500°C to about 1000°C to coke the pitch.

15. The process of claim 1 wherein the pitch is heated to a temperature of about 800°C to coke the pitch.

16. The process of claim 1 wherein the temperature to coke the pitch is raised at a rate of no greater than 5°C per minute.

17. The process of claim 1 wherein the pitch is soaked at the coking temperature for at least 15 minutes to effect the coking.

18. The process of claim 1 wherein the pitch is heated to a temperature of about 630°C to coke the pitch.

19. The process of claim 1 wherein the pitch is heated to a temperature of about 50°C to about 100°C to coalesce the pitch.

20. The process of claim 1 where the foam is cooled at a rate of approximately 1.5°C/min with the release of pressure at a rate of approximately 2 psi/min.

21. The process of claim 1 further including the step of densifying the foam.

22. A carbon foam produced as produced by the process of claim 1.

23. A carbon foam as produced by the process of claim 1 with a smooth face sheet.

24. A process of producing carbon foam comprising:  
selecting an appropriate mold shape and a mold  
composed of  
a material that the molten pitch does not wet;  
5 introducing pitch to an appropriate level in the  
mold;  
purging the air from the mold;  
heating the pitch to a temperature sufficient to  
coalesce the pitch into a liquid;  
10 releasing the vacuum and applying an inert fluid  
at a static pressure up to about 1000 psi;  
heating the pitch to a temperature sufficient to  
coke the pitch; and  
cooling the foam to room temperature with a  
15 simultaneous release of pressure.

25. The process of claim 24 wherein the pitch is introduced as granulated pitch.

26. The process of claim 24 wherein the pitch is introduced as powdered pitch.

27. The process of claim 24 wherein the pitch is introduced as pelletized pitch.

28. The process of claim 24 where in the pitch is a synthetic mesophase or isotropic pitch.

29. The process of claim 24 where in the pitch is a petroleum-derived mesophase pitch.

30. The process of claim 24 where in the pitch is a coal-derived mesophase pitch.

31. The process of claim 24 wherein the mold is purged by a vacuum applied at less than 1 torr.

32. The process of claim 24 wherein the mold is purged by an inert fluid before heating.



33. A process of producing carbon foam core composite comprising:

selecting an appropriate mold shape;

introducing pitch to an appropriate level in a mold;

5 purging air from the mold;

heating the pitch to a temperature sufficient to coalesce the pitch into a liquid;

releasing the vacuum and applying an inert fluid at a static pressure up to above 1000 psi;

10 heating the pitch to a temperature sufficient to cause gases to evolve and foam the pitch;

heating the pitch to a temperature sufficient to coke the pitch;

15 cooling the foam to room temperature with a simultaneous release of pressure;

placing facesheets on the opposite sides of the carbon foam; and

adhering the facesheets to the carbon foam.

34. The process of claim 33 wherein the adhering of the facesheets to the carbon foam is effected by a molding step.

35. The process of claim 33 wherein the adhering of the facesheets to the carbon foam is effected by a coating material.

36. A composite carbon foam product produced by the process of claim 33.



FIG. 1

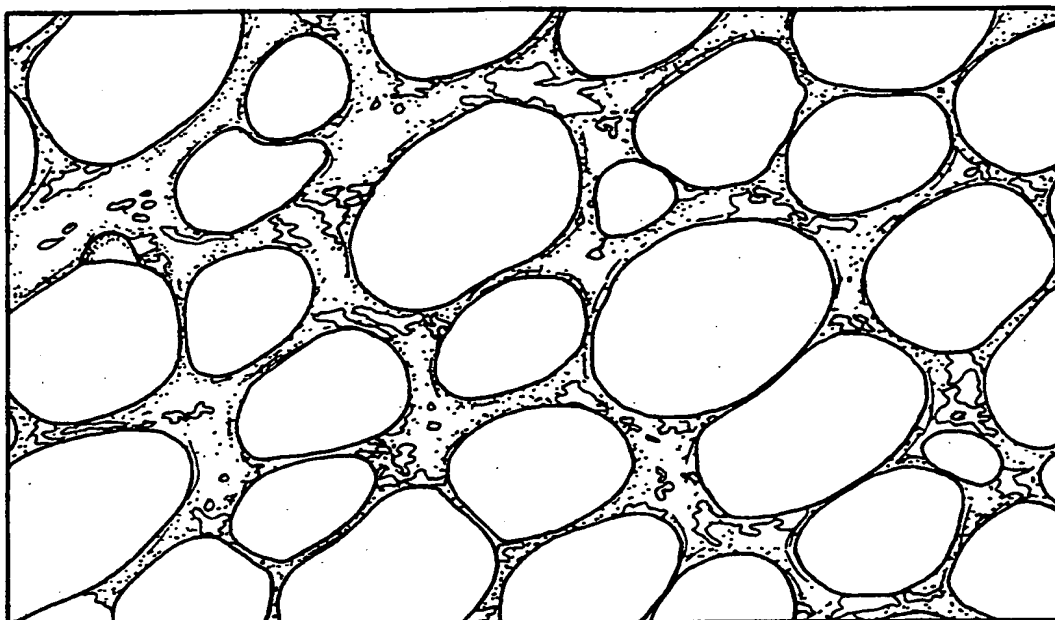


FIG. 2

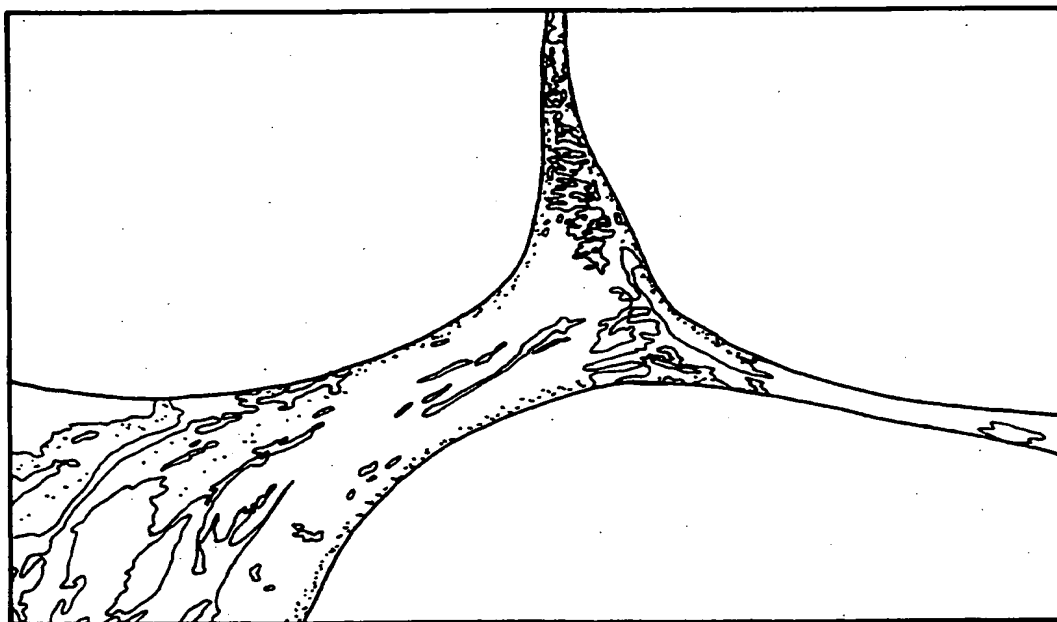


FIG. 3

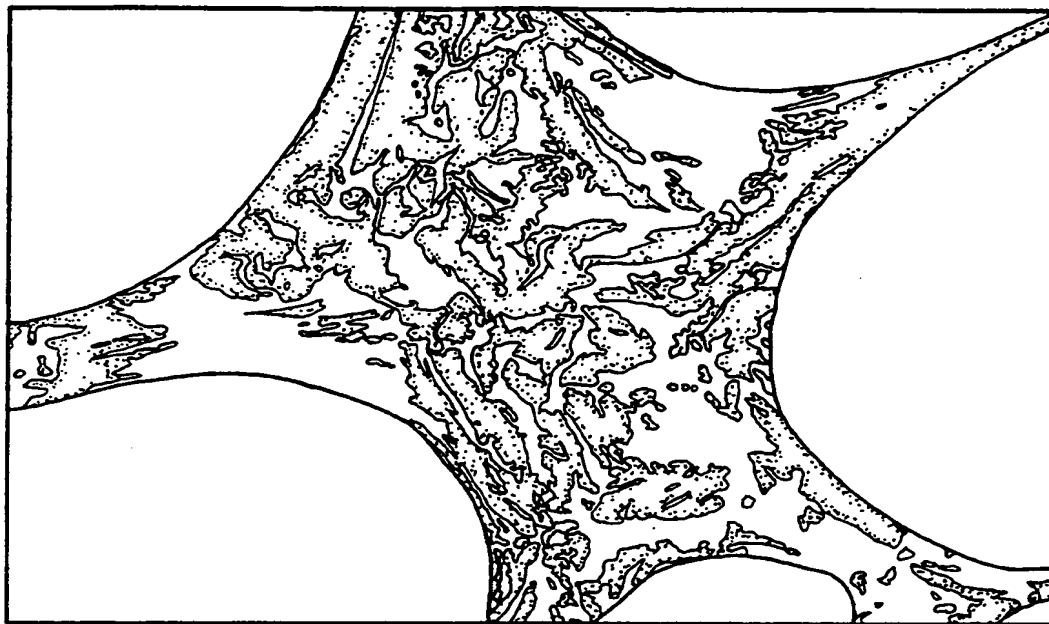


FIG. 4

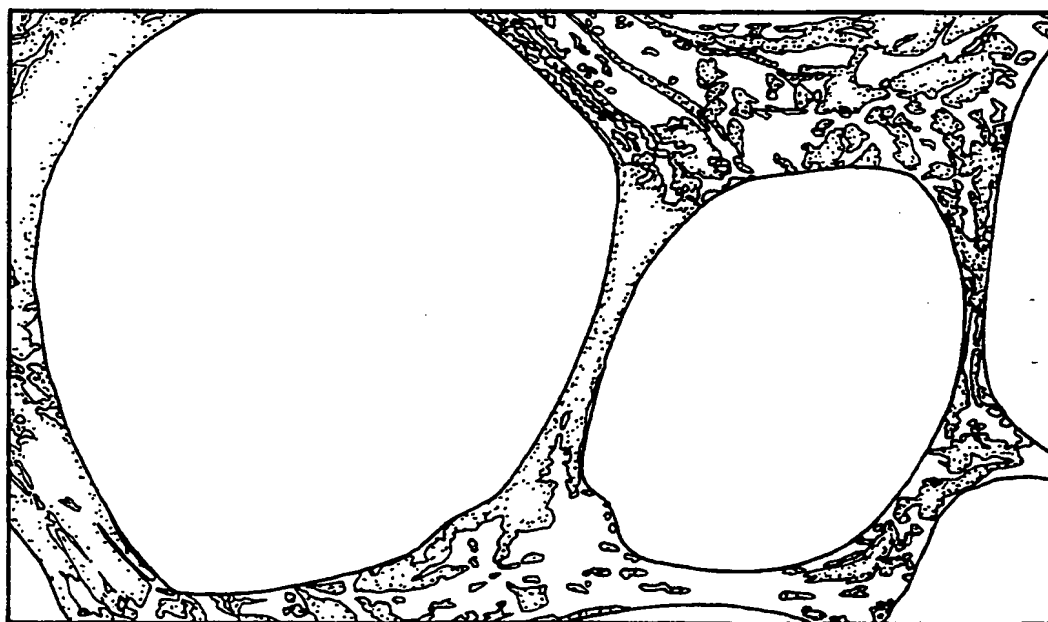


FIG. 5

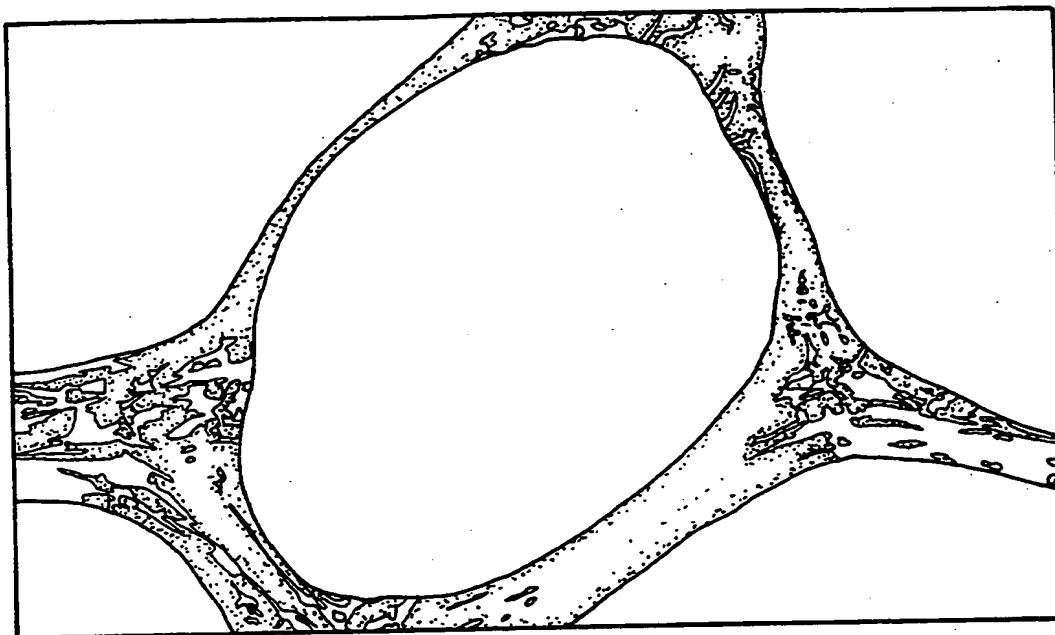


FIG. 6

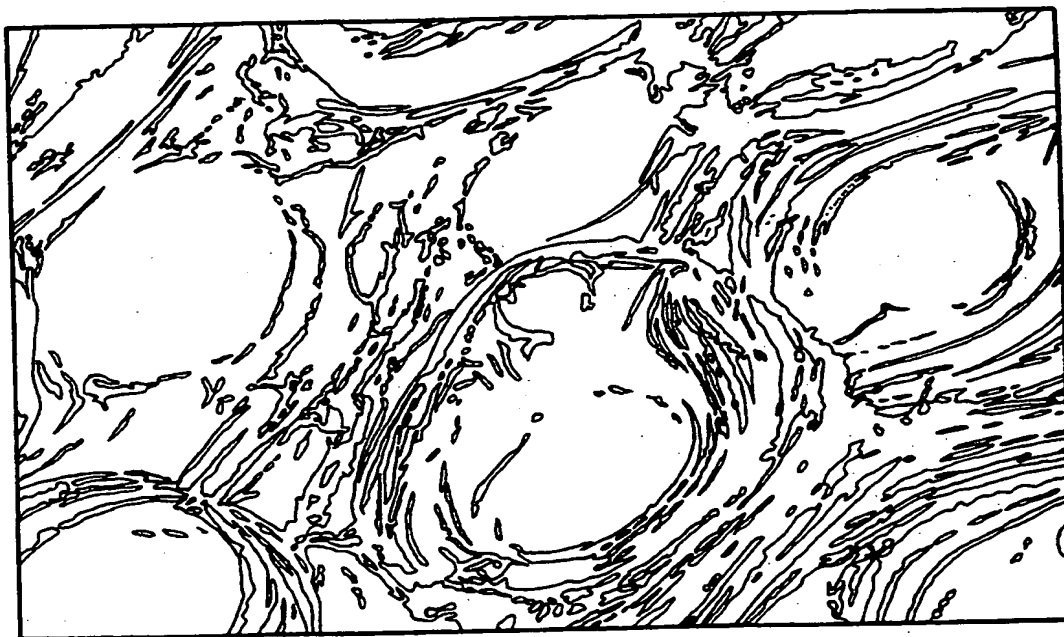
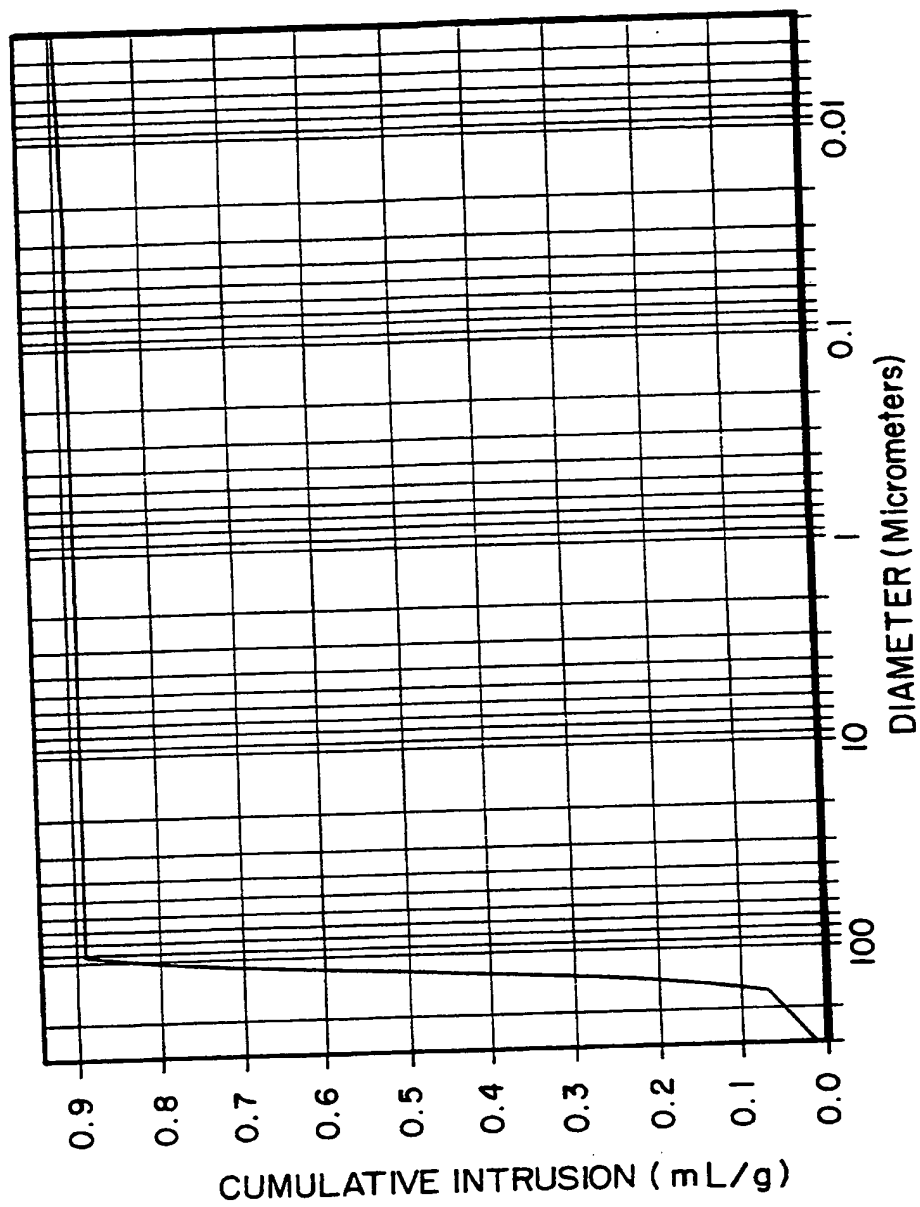
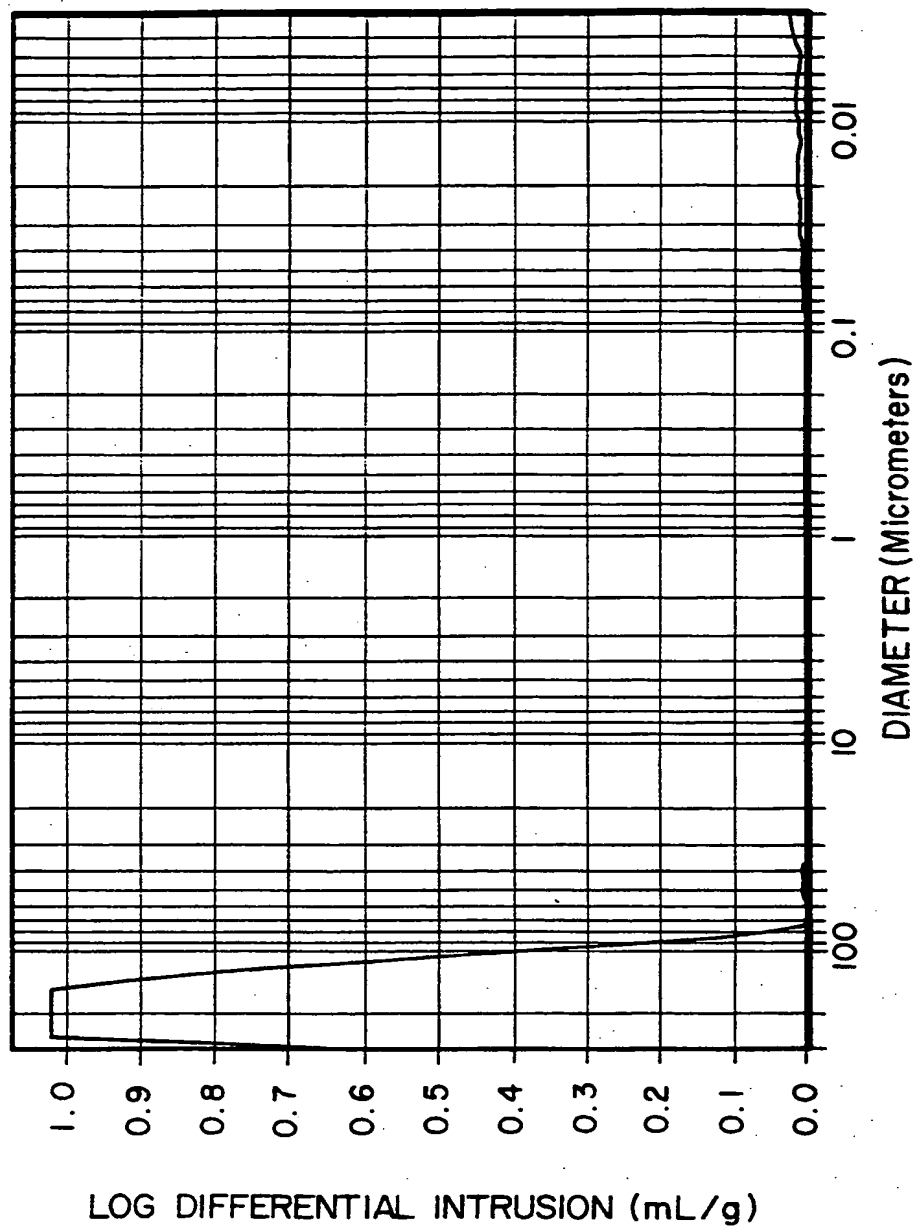


FIG. 7

FIG. 8

FIG. 9

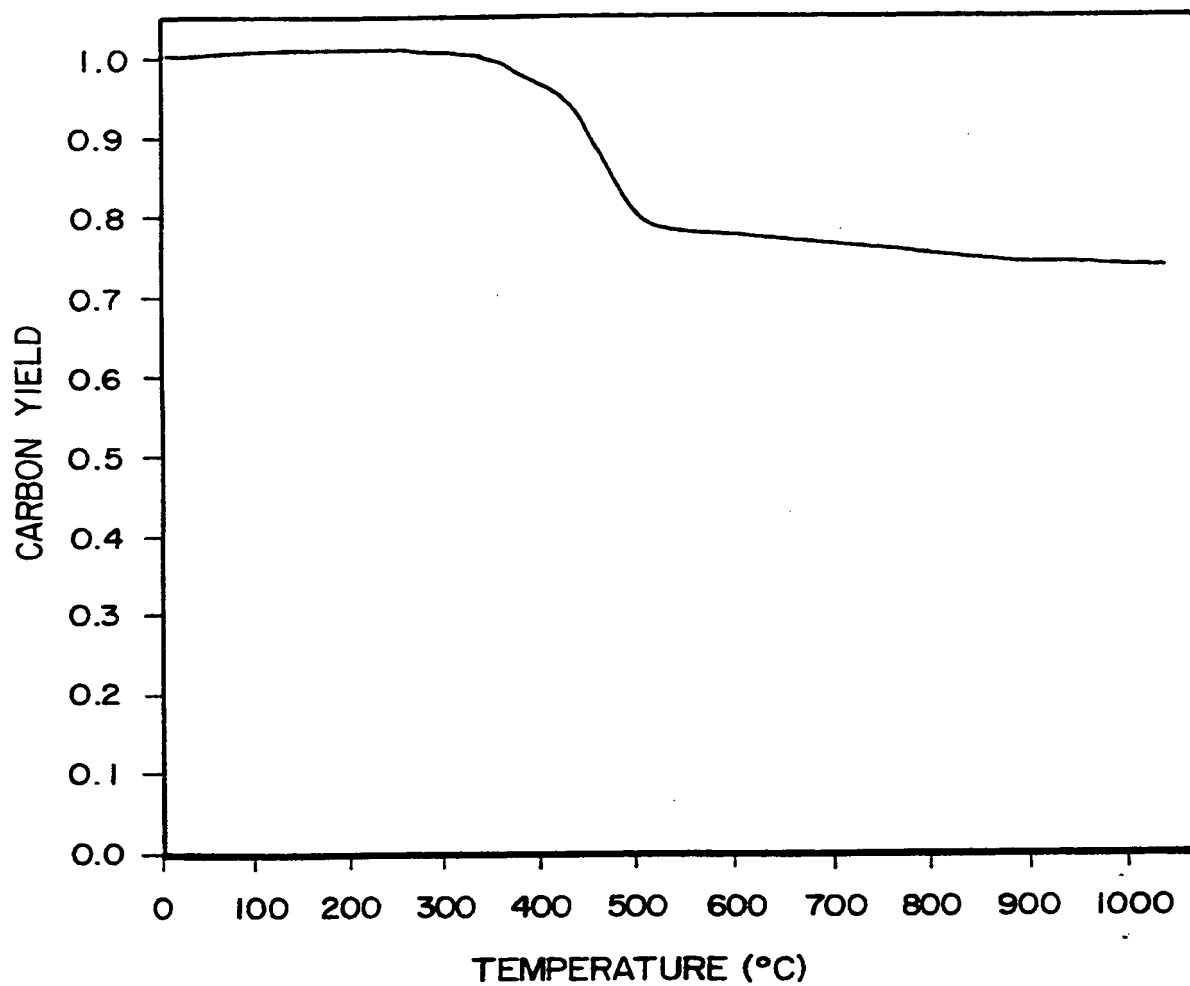
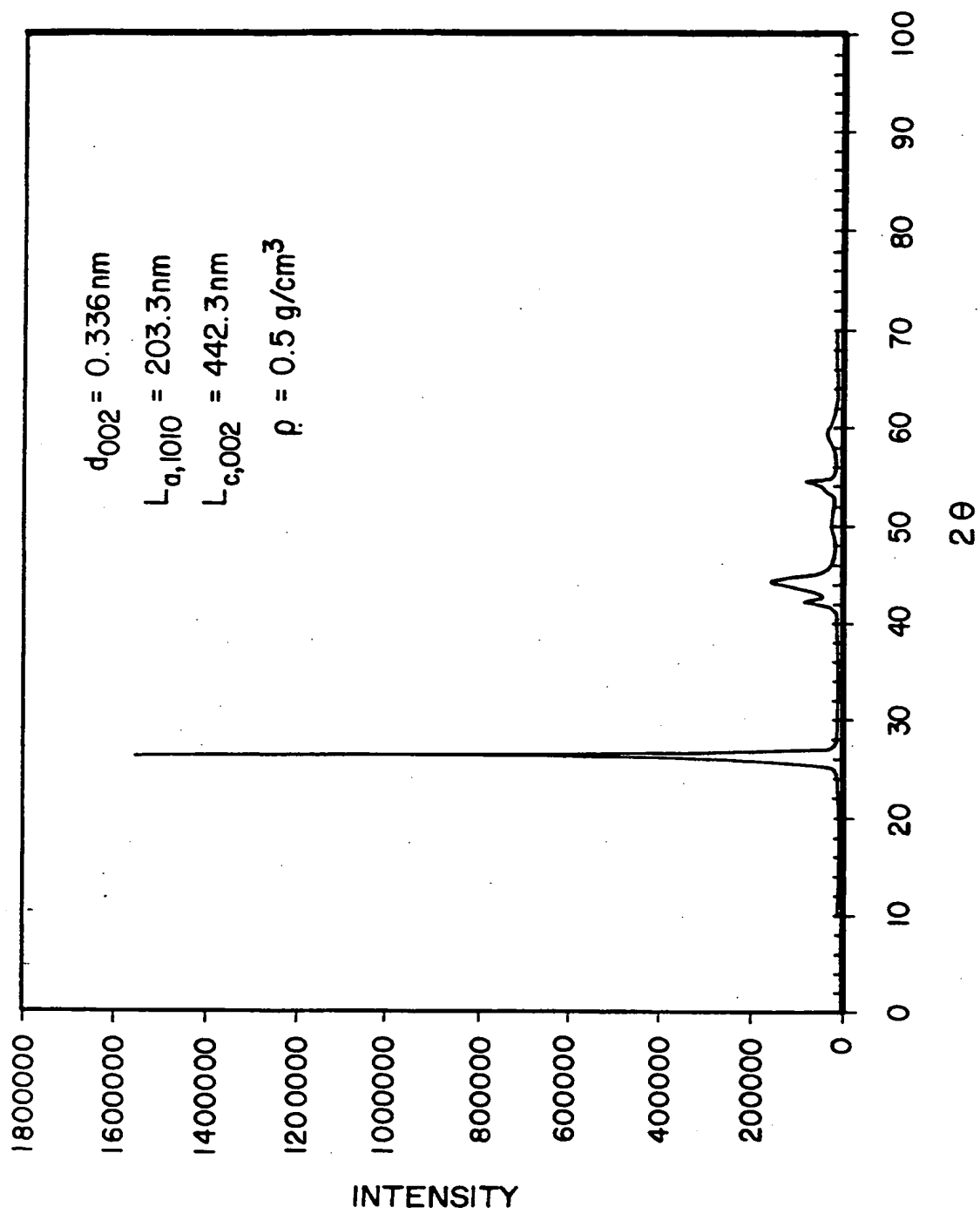
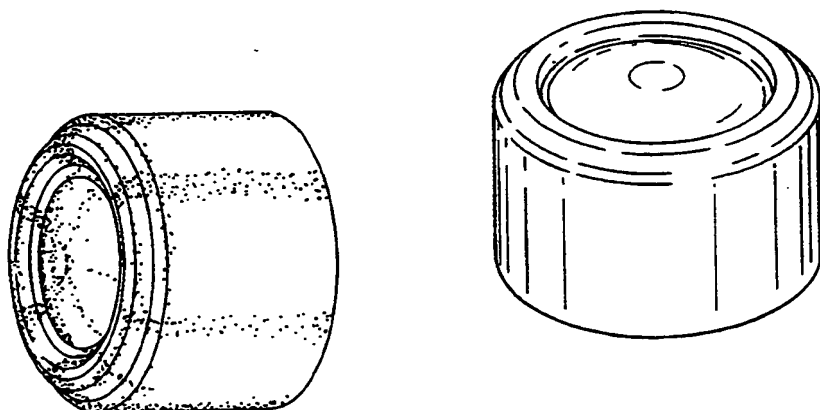


FIG. 10

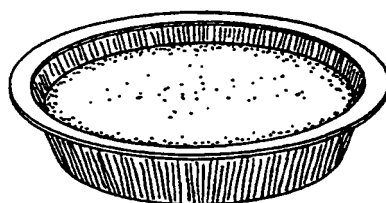


FIG. 11



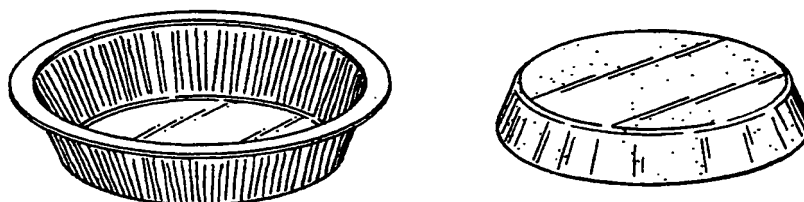
FOAM PRODUCED IN BOTTOM OF SODA CAN.

FIG. 12A



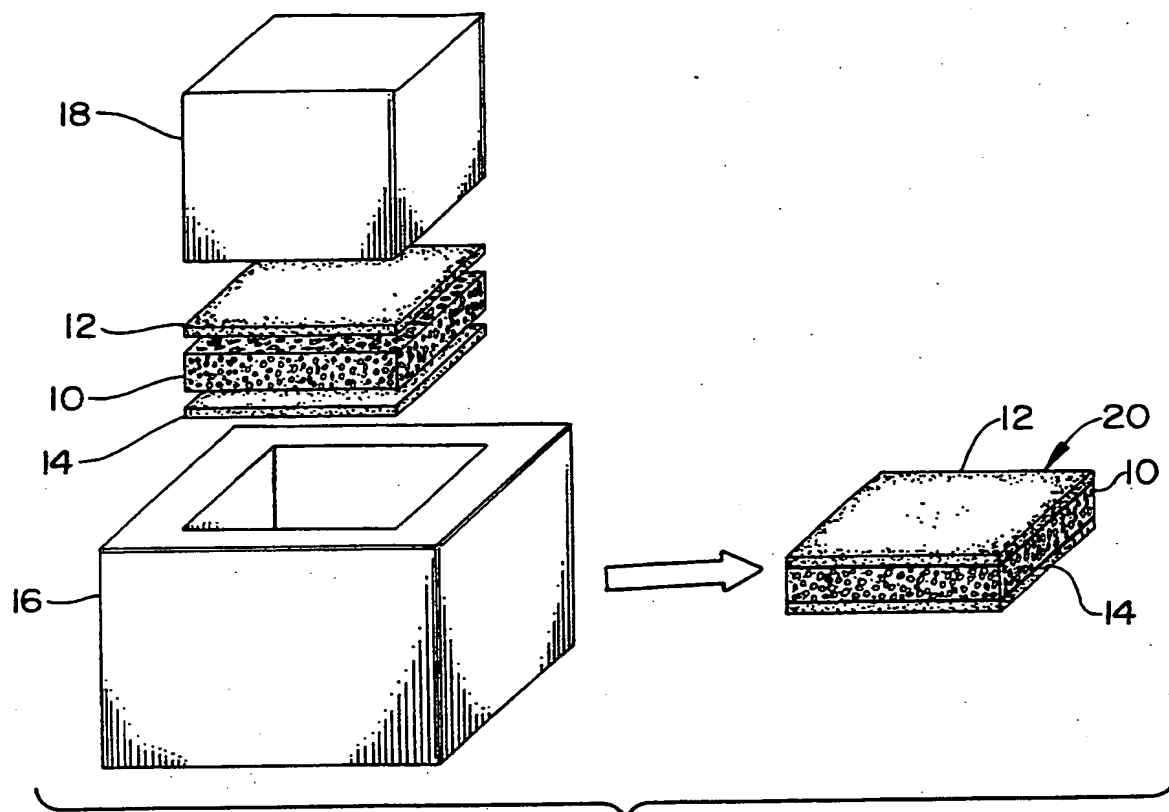
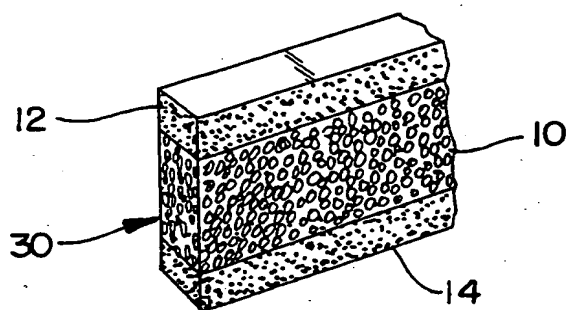
FOAM PRODUCED IN ALUMINUM WEIGHING DISH.

FIG. 12B



FOAM REMOVED FROM ALUMINUM WEIGHING DISH AND  
TURNED OVER, EXPOSING SMOOTH INTEGRATED SURFACE (FACE)

FIG. 12C

FIG. 13AFIG. 13B

# INTERNATIONAL SEARCH REPORT

Inte Application No

PCT/US 98/16210

A. CLASSIFICATION OF SUBJECT MATTER  
IPC 6 C04B38/00

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC 6 C04B

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
P, A	<p>KLETT JAMES: "High thermal conductivity, mesophase pitch-derived carbon foam" PROCEEDINGS OF THE 1998 43RD INTERNATIONAL SAMPE SYMPOSIUM AND EXHIBITION. PART 1 (OF 2); ANAHEIM, CA, USA MAY 31-JUN 4 1998, vol. 43, no. 1, 1998, pages 745-755, XP002080695 Int SAMPE Symp Exhib; International SAMPE Symposium and Exhibition (Proceedings) 1998 SAMPE, Covina, CA, USA see Acknowledgements see page 746, paragraph 2.1</p> <p style="text-align: center;">--- -/-</p>	1, 5, 22, 24, 28, 29

☒ Further documents are listed in the continuation of box C.

☒ Patent family members are listed in annex.

### \* Special categories of cited documents :

"A" document defining the general state of the art which is not considered to be of particular relevance

"E" earlier document but published on or after the international filing date

"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)

"O" document referring to an oral disclosure, use, exhibition or other means

"P" document published prior to the international filing date but later than the priority date claimed

"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention

"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone

"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.

"&" document member of the same patent family

Date of the actual completion of the international search

14 October 1998

Date of mailing of the international search report

30/10/1998

Name and mailing address of the ISA

European Patent Office, P.B. 5818 Patentlaan 2  
NL - 2280 HV Rijswijk  
Tel. (+31-70) 340-2040, Tx. 31 651 epo nl,  
Fax: (+31-70) 340-3016

Authorized officer

Theodoridou, E

# INTERNATIONAL SEARCH REPORT

International Application No  
PCT/US 98/16210

## C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

Category	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	<p>DATABASE WPI Section Ch, Week 9028 Derwent Publications Ltd., London, GB; Class E36, AN 90-213280 XP002080696 see abstract &amp; PATENT ABSTRACTS OF JAPAN vol. 014, no. 386 (C-0750), 21 August 1990 &amp; JP 02 142891 A (KAWASAKI STEEL CORP.), 31 May 1990 see abstract</p> <p style="text-align: center;">---</p>	<p>1,6,10, 11,22, 24,29, 31,32</p>
A	<p>US 4 276 246 A (BONZOM ALBERT ET AL) 30 June 1981 cited in the application</p> <p style="text-align: center;">---</p>	
P,A	<p>ANDERSON HEATHER J ET AL: "Microcellular pitch-based carbon foams blown with helium gas" PROCEEDINGS OF THE 1998 43RD INTERNATIONAL SAMPE SYMPOSIUM AND EXHIBITION. PART 1 (OF 2); ANAHEIM, CA, USA MAY 31-JUN 4 1998, vol. 43, no. 1, 1998, pages 756-762, XP002080764 Int SAMPE Symp Exhib; International SAMPE Symposium and Exhibition (Proceedings) 1998 SAMPE, Covina, CA, USA see page 757, paragraph 2.2</p> <p style="text-align: center;">-----</p>	<p>1</p>

# INTERNATIONAL SEARCH REPORT

Information on patent family members

International Application No

PCT/US 98/16210

Patent document cited in search report	Publication date	Patent family member(s)	Publication date
---	---------------------	----------------------------	---------------------

US 4276246	A	30-06-1981	FR 2411875 A	13-07-1979
			FR 2411811 A	13-07-1979
			BE 872768 A	14-06-1979
			DE 2853496 A	21-06-1979
			GB 2012303 A, B	25-07-1979
			JP 54094572 A	26-07-1979
			NL 7812118 A	18-06-1979

---

**This Page is Inserted by IFW Indexing and Scanning  
Operations and is not part of the Official Record**

**BEST AVAILABLE IMAGES**

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images include but are not limited to the items checked:

- ☐ BLACK BORDERS
- ☐ IMAGE CUT OFF AT TOP, BOTTOM OR SIDES
- ☒ FADED TEXT OR DRAWING
- ☒ BLURRED OR ILLEGIBLE TEXT OR DRAWING
- ☐ SKEWED/SLANTED IMAGES
- ☐ COLOR OR BLACK AND WHITE PHOTOGRAPHS
- ☐ GRAY SCALE DOCUMENTS
- ☒ LINES OR MARKS ON ORIGINAL DOCUMENT
- ☐ REFERENCE(S) OR EXHIBIT(S) SUBMITTED ARE POOR QUALITY
- ☐ OTHER: \_\_\_\_\_

**IMAGES ARE BEST AVAILABLE COPY.**

**As rescanning these documents will not correct the image problems checked, please do not report these problems to the IFW Image Problem Mailbox.**

**THIS PAGE BLANK (USPTO)**